

1. Investment Oversight Committee



*Wallace
Dobbin*



*Keith
Baldwin*



*Andrew
Clare*



*Gregor
Stewart*

2. Management Investment Group



**Richard
Romer-Lee**



**Gavin
Corr**



**Senior Quilter Financial Planning
executives including our:**

Proposition Director
Quilter Financial Planning

Technical Director

Business Controls Director

Head of Compliance Policy and
Process

Head of Risk

Head of Adviser Risk



**Patrick
Norwood**



**Phil
Mowbray**

3. Monitoring & feedback

*Ongoing
Monitoring*

Market information in real time.

*Peer groups,
feedback and surveys*

The needs of clients regularly monitored
including feedback from our adviser focus
groups and surveys.